



**PATENT**  
Attorney's Docket No. **JJK-0338**

## INFORMATION DISCLOSURE STATEMENT TRANSMITTAL FORM

In re application of: William J. Murphy, et al.  
Serial No: 10/686,398  
Filed: October 14, 2003  
For: **A METHOD FOR MAKING LUBE BASESTOCKS**

Examiner: Unknown  
Group Art Unit: Unknown


**The Honorable Commissioner for Patents**  
**P. O. Box 1450**  
**Alexandria, VA 22313-1450**

Sir:

Transmitted herewith is an Information Disclosure Statement, PTO 1449 form.

This Information Disclosure Statement is submitted in accordance with the requirements of 37 CFR 1.56, 1.97 and 1.98, before the mailing date of the First Office Action. No fee is due.

02/11-04  
Date

  
\_\_\_\_\_  
Attorney  
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Annandale, NJ 08801-0900

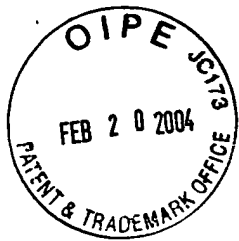
### CERTIFICATION OF MAILING (37 CFR 1.8 (a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

Judy Gould  
(Name of person mailing paper)

  
(Signature of person mailing paper)

2/13/04  
(Date)



"PATENT"

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

William J. Murphy, et al.

SERIAL NO.: 10/686,398

FILED: October 14, 2003

FOR: A Method for Making  
Lube Basestocks

§ EXAMINER: Unknown

§

§ GROUP ART UNIT: Unknown

§

§ ATTY. DOCKET NO.: JJK-0338

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Honorable Commissioner for Patents

P. O. Box 1450

Alexandria, Virginia 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with Title 37, Sections 1.56, 1.97 and 1.98 of the Code of Federal Regulations, and pursuant to applicants' duty of candor and good faith toward the United States Patent and Trademark Office, the Examiner's attention is drawn to the art indicated on the attached PTO-1449 form.

This Information Disclosure Statement is being filed under:

- ☐ 37 CFR 1.97 (b) (1) within three months of the filing date of a national application other than a continued prosecution application.
- ☐ 37 CFR 1.97 (b) (2) within three months of the date of entry of the national stage in an international application.
- ☒ 37 CFR 1.97 (b) (3) before the mailing date of a first Office action on the merits.
- ☐ 37 CFR 1.97 (b) (4) before the mailing date of a first Office action after filing a Request for Continued Examination.
- ☐ 37 CFR 1.97 (c) before the mailing date of a final action or notice of allowance. This Information Disclosure Statement is accompanied by the appropriate statement under 37 CFR 1.97(e) and the fee set forth in 37 CFR 1.17 (p).
- ☐ 37 CFR 1.97 (d) prior to payment of the issue fee. This Information Disclosure Statement is accompanied by the appropriate statement under 37 CFR 1.97 (e) and the fee set forth in 37 CFR 1.17 (p).

Form PTO-1449 is attached to this paper listing documents submitted in the above-referenced case. It is respectfully requested that the Examiner consider these documents and return an initialed copy of each form to the attorney of record.

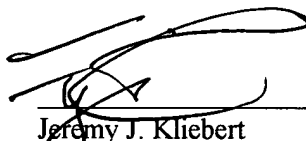
This disclosure statement should not be construed as a representation that a search has been made or that no other material information, as defined in 37 CFR § 1.56(a), exists.

The instant application was filed after June 30, 2003. In accordance with *1276 Official Gazette* 55, Aug. 5, 2003, which waives the requirement under 37 CFR 1.98 (a) (2) (i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication, applicants have not submitted copies of these documents. Copies of any cited foreign patent documents and/or non-patent literature are submitted herewith in accordance with 37 CFR 1.98 (a) (2).

We believe that this disclosure complies with the requirements of 37 CFR § 1.56, 1.97 and 1.98, and the Manual of Patent Examining Procedures § 609. If for any reason the Examiner finds that the disclosure or documents do not comply with these sections, notification is respectfully requested.

If there are any matters or issues outstanding, the Examiner is encouraged to contact the attorney of record at the telephone number listed below.

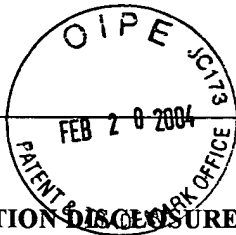
Respectfully submitted:



02/11/04

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# INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

JJK-0338

SERIAL NO.

10/686,398

APPLICANT

William J. Murphy, et al.

FILING DATE

10/14/03

GROUP

Unknown

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	4,943,672	7/1990	Hamner et al.	585	737	
	AB	5,059,299	10/1991	Cody et al.	208	27	
	AC	5,182,248	1/1993	Cody et al.	502	230	
	AD	6,008,164	12/1999	Aldrich et al.	508	110	
	AE	6,025,305	2/2000	Aldrich et al.	508	110	
	AF	6,059,955	5/2000	Cody et al.	208	18	
	AG	6,210,559	4/2001	Cook et al.	208	15	
	AH	6,383,366	5/2002	Riley et al.	208	137	
	AI						
	AJ						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AK							
	AL							
	AM							
	AN							
	AO							

## OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

	AP	
	AQ	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant